

CHANGES IN THE DISTRIBUTION OF ALASKA'S
COMMERCIAL FISHERIES ENTRY PERMITS,
1975-2003

CFEC Report Number 04-1N

Alaska Commercial Fisheries Entry Commission
8800 Glacier Highway Suite 109
Juneau, Alaska 99801
(907) 789-6160

ABSTRACT

This report provides detailed information on changes in the distribution of permanent permits in Alaska's limited fisheries. From 1975 through 2003, 77 permit types have been issued in 64 fisheries. The report provides both statewide and fishery-specific data on the numbers of permit transfers, the geographic distribution of permit holders, changes due to permit transfers, changes due to the movement of permit holders and the year-end 2003 geographic distribution of permit holders.

The report also includes extensive information on the age of permit holders, age differences between transferors and transfer recipients, the incidence of intra-family and business partner transfers, transfer acquisition methods, and financing of permit purchases. The information contained in the report is derived from the Commercial Fisheries Entry Commission's (CFEC) permit and transfer survey files.

The report includes two separate documents: an executive summary and the principal report, which is primarily a reference document.

LIST OF PREPARERS

Cathy Tide
Nancy Free-Sloan
Kurt Iverson

EEOC/ADA COMPLIANCE STATEMENT

The Alaska Department of Fish and Game administers all programs and activities free from discrimination based on race, color, national origin, age, sex, religion, marital status, pregnancy, parenthood, or disability. The department administers all programs and activities in compliance with Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, Title II of the Americans with Disabilities Act of 1990, the Age Discrimination Act of 1975, and Title IX of the Education Amendments of 1972.

If you believe you have been discriminated against in any program, activity, or facility, or if you desire further information please write to ADF&G, P.O. Box 25526, Juneau, AK 99802-5526; U.S. Fish and Wildlife Service, 4040 N. Fairfax Drive, Suite 300 Webb, Arlington, VA 22203 or O.E.O., U.S. Department of the Interior, Washington DC 20240.

For information on alternative formats for this and other department publications, please contact the department ADA Coordinator at (voice) 907-465-4120, (TDD) 907-465-3646, or (FAX) 907-465-2440.

TABLE OF CONTENTS

ABSTRACT	iii
I. INTRODUCTION	3
II. TRANSFER INCIDENCE	9
Statewide Turnover Rates	9
Turnover Ratios by Permit Type, 1975-2003	10
III. GEOGRAPHIC DISTRIBUTION OF PERMITS, TRANSFERS AND MIGRATIONS	17
Classification of Permit Holders	17
Geographic Distribution of Initial Issues	19
Geographic Changes in the Distribution of Permits Due to Transfer	20
Geographic Changes in the Distribution of Permits Due to Migration	21
Summary of Changes in Permits Held by Resident Type	22
IV. AGE PATTERNS AMONG PERMIT HOLDERS	41
Mean Age of Permit Holders, Transferees (Transfer Recipients), and Transferors	41
Mean Age by Permit Type	42
Mean Age by Resident Type	42
V. TRANSFER SURVEY RESULTS	99
Relationships of Transfer Participants	100
Permit Acquisition Method: Gift, Sale, Trade, and Other	100
Permit Prices	101
Financing of Permit Purchases	102
Foreclosures on Permit Loans	103
VI. PERMIT TRANSFERS FROM ALASKA RURAL LOCALS TO OTHER RESIDENT TYPES	177
Transfer Activity Away From Alaska Rural Locals	177
Permit Financing Methods	178
VII. PERMIT TRANSFERS FROM ALAKSA LOCALS TO OTHER RESIDENT TYPES	217
Transfer Activity Away From Alaska Locals	217
Permit Financing Methods	218

LIST OF TABLES

TABLE 1.	Statewide Transfer Data on Permanent Permits, by Year, 1975 – 2003	11
TABLE 2.	Transfer Ratios by Permit Type, 1975-2003, All Years Combined	12
TABLE 3.	Total Number of Initial Permit Holders, by Fishery and Resident Type, 1975-2003	24
TABLE 4.	2003 Year-end Distribution of Permit Holders by Fishery and Resident Type	26
TABLE 5.	Numbers of Transfers Between Resident Types by Year, 1975-2003	28
TABLE 6.	Numbers of Intra-Cohort and Cross-Cohort Transfers By Fishery, 1975-2003	30
TABLE 7.	Net Shifts in Resident Types Due to Transfer Activity by Fishery, 1975-2003	32
TABLE 8.	Numbers of Cross-Cohort Migrations by Year, 1975-2003	34
TABLE 9.	Net Shifts in Resident Types Due to Migration Activity, by Fishery, 1975-2003	36
TABLE 10.	Summary of Annual Net Changes in Statewide Permit Ownership, 1975-2003	38
TABLE 11	Mean Ages of Transferable Permit Holders, Transferors, Transferees, and Mean Age Differences Between Transferors and Transferees, by Year	43
TABLE 12.	Mean Ages of Transferable Permit Holders, Transferors, Transferees, and Mean Age Differences Between Transferors and Transferees, by Year and Permit Type, 1975-2003	44
TABLE 13.	Mean Ages of Transferable Permit Holders, Transferors, and Transferees by Resident Type, 1975-2003	67
TABLE 14.	Mean Ages of Transferable Permit Holders, Transferors, and Transferees by Resident Type, 1975-2003	70
TABLE 15.	Mean Age of Transferable Permit Holders, by Permit Type, Year, and Resident Type, 1975-2003	73
TABLE 16.	Relationships of Transferors to Transferees by Permit Type and Year (from 1980-2003 Survey Data)	104
TABLE 17.	Relationships of Transferors to Transferees by Resident Type of the Transferee (from 1980-2003 Survey Data)	121
TABLE 18.	Transfer Acquisition Methods by Permit Type and Year (from 1980-2003 Survey Data)	123
TABLE 19.	Transfer Acquisition Methods by Resident Type of Transferee (from 1980-2003 Survey Data)	140

TABLE 20.	Mean and Median Permit Prices from Survey Data, by Permit Type and Year, 1980-2003	142
TABLE 21.	Permit Financing by Permit Type and Year (from 1980-2003 Survey Data)	156
TABLE 22.	Sources of Permit Financing by Resident Type of Transfer Recipient (from 1980-2003 Survey Data)	171
TABLE 23.	Number of Foreclosures on Permit Loans by Resident Type and Year (from 1982-2003 Survey Data)	173
TABLE 24.	Transfers from Alaska Rural Locals to Other Resident Types by Year, 1975-2003	179
TABLE 25.	Transfers from Alaska Rural Locals to Other Resident Types by Permit Type, 1975-2003	180
TABLE 26.	Relationships in Transfers from Alaska Rural Local Permit Holders to Other Resident Types By Permit Type and Year (from 1980-2003 Survey Data)	182
TABLE 27.	Acquisition Methods Used in Transfers from Alaska Rural Local Permit Holders to Other Resident Types By Permit Type and Year (from 1980-2003 Survey Data)	193
TABLE 28.	Permit Financing Methods Used in Transfers from Alaska Rural Local Permit Holders to Other Resident Types, by Permit Type and Year (from 1980-2003 Survey Data)	204
TABLE 29.	Transfers from Alaska Rural Local and Urban Local Permit Holders to Other Resident Types by Year, 1975-2003	219
TABLE 30.	Transfers from Alaska Rural Local and Urban Local Permit Holders to Other Resident Types by Permit Type, 1975-2003	220
TABLE 31.	Relationships in Transfers from Alaska Local Permit Holders to Other Resident Types by Permit Type and Year (from 1980-2003 Survey Data)	222
TABLE 32.	Acquisition Methods Used in Transfers from Alaska Local Permit Holders to Other Resident Types by Permit Type and Year (from 1980-2003 Survey Data)	233
TABLE 33.	Acquisition Methods Used in Transfers from Alaska Local Permit Holders to Other Resident Types by Permit Type and Year (from 1980-2003 Survey Data)	244

APPENDICES

APPENDIX A.	Decision Rules Used to Designate Urban, Rural, Local, and Nonlocal	257
APPENDIX B.	Transfer Data on Permanent Permits by Permit Type and Year, 1975-2003	265
APPENDIX C.	Summary of Net Changes in the Distribution of Permit Ownership by Permit Type and Resident Type, 1975-2003	289
APPENDIX D.	Cross-Cohort Transfers of Alaska Rural Local Permits by Permit Type and Year, 1975-2003	367
APPENDIX E.	Cross-Cohort Transfers of Alaska Local Permits by Permit Type and Year, 1975-2003	389

