



Commercial Fisheries Entry Commission Request for Permanent Transfer for the Purpose of Fleet Consolidation Under AS 16.43.140(c)(5)

PO Box 110302
Juneau, AK 99811-0302
Phone: 907-789-6150
Toll-Free: 1-855-789-6150
Fax: 907-789-6170
www.cfec.state.ak.us

General Information:

Please use this form if the proposed transferee already holds the same type of salmon permit (area and gear) except Cook Inlet set gillnet, Cook Inlet drift gillnet and Yakutat set gillnet, otherwise use the *Request for Permanent Transfer of Entry Permit* form.

Limited Entry Permits cannot be:

- Leased
- Pledged
- Mortgaged
- Attached
- Distraigned
- Transferred with any retained right of repossession or foreclosure
- Encumbered in any way, or on any condition requiring a subsequent transfer

Once a permit is transferred, the new owner is the permit holder and no one else can have control or an interest in the permit.

Note that permanent transfers are not official or final until all required documentation has been received and approved by the Commercial Fisheries Entry Commission, even if money has already been paid and documents signed.

Information on financing is available from the DED, phone 907-465-2510 or CFAB, phone 907-276-2007. An entry permit cannot be permanently transferred while it is subject to a lien filed by DED, CFAB, Child Support Services Division (CSSD), or the Internal Revenue Service (IRS). The parties to a permit transfer are advised to take appropriate measures to protect themselves from the risks associated with federal tax liens.

Instructions:

To request a permanent transfer:

- The entire form must be completed by both the permit holder and transferee.
- The current year permit card (if issued) must be returned.
- A \$50.00 transfer fee must be submitted.
- Both parties must have their signatures notarized (they do not have to sign at the same time or place).
- A copy of the "transfer agreement" or "bill of sale" outlining all terms and conditions of the transfer must be submitted providing full disclosure, as required by CFEC regulation 20 AAC 05.1712(c)
- The *Request for Permanent Transfer for the Purpose of Fleet Consolidation* form must be submitted to CFEC within 90 days of being signed and notarized

If a permit is held by a deceased fisherman's estate, only the legally authorized representative of the estate can complete the transfer documents. A copy of the Letters of Administration or Letters Testamentary verifying that person's legal authority must be submitted with the transfer request.

Payment Information:

Payment may be made using the attached credit card authorization and submitted via fax, (907) 789-6170, or email to dfg.cfec.transfers@alaska.gov. We accept Mastercard, Visa, and Discover. We also accept checks, money orders, and cashier's checks which can be mailed to us with this form.



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Please allow up to 2 weeks for processing Please complete all sections below and submit with the \$50.00 transfer processing fee, the current year permit card if it has been issued, a copy of the "transfer agreement" or "bill of sale" outlining all terms and conditions of this transfer as required by CFEC regulation 20 AAC 05.1712(c). If any of the information is not submitted, or if the form is incomplete, there will be delays with the request.

Date Approved: _____ Transfer Officer: _____

PERMIT HOLDER INFORMATION: Must be completed by the current permit holder

I _____ request a permanent transfer of my permit _____
Name of Permit Holder (print clearly) Permit Number

_____ Social Security Number _____ Date of Birth _____
Permanent Mailing Address

_____ Phone Number _____ Check if Unlisted _____ Email Address _____
City State Zip

REQUIRED FOR VERIFICATION OF RESIDENCY

Physical Location of Residence (where you live): _____

City: _____ State: _____ Zip: _____ How Long (years/months) at the Physical Address? _____

RESIDENCY: Alaska Resident Nonresident CITIZENSHIP: US Citizen Alien Reg# _____
(You must enclose a copy of your card)

TRANSFeree INFORMATION: Must be completed by the proposed transferee

_____ Social Security Number _____ Date of Birth _____ ADFG Number _____
Name of Transferee (print clearly)

_____ City _____ State _____ Zip _____
Permanent Mailing Address

_____ Email address _____
Phone Number Check if unlisted _____

REQUIRED FOR VERIFICATION OF RESIDENCY

Physical Location of residence (where you live): _____

City: _____ State: _____ Zip: _____ How Long (years/months) at the Physical Address? _____

RESIDENCY: Alaska Resident Nonresident CITIZENSHIP US Citizen Alien Reg# _____
(You must enclose a copy of your card)

1. I understand that state law allows me to hold a second permit in a salmon fishery for the purpose of fleet consolidation: NO YES
2. I understand that I may not engage in fishing nor will I be issued a permit for the second permit NO YES
3. I understand that I may not emergency transfer the second permit, except under 20 AAC 05.1946(3)(B): NO YES

AFFIDAVIT: Both Parties must read and sign under oath before a Notary Public (or Postmaster in Alaska). I swear, under penalty of perjury that the information provided by me on all pages of this form and in all supporting documents is true and accurately describes the terms and conditions of this transfer; that this transfer is not requested as part of, nor in anticipation of, any retained right of repossession or foreclosure, lease, pledge, mortgage, agreement requiring a subsequent transfer, or other encumbrance involving this permit, except as part of a transfer financed in accordance with current law, and that I am not prohibited by law or court order from being a party to this transfer. I understand that making a false claim on this transfer form or submitting false documentation in support of this transfer request is a crime under AS 11.56.210 which is punishable by up to one year in prison and/or \$5,000.00 fine and may subject me to administrative fines, suspension of fishing privileges and revocation of any entry permits I may hold.

Permit Holder: _____
Signature

Transferee: _____
Signature

Subscribed and sworn to before me this _____ day of _____, 20__ at _____

Subscribed and sworn to before me this _____ day of _____, 20__ at _____

Notary Public (or Postmaster in Alaska)

Notary Public (or Postmaster in Alaska)

REQUIRED PERMANENT TRANSFER INFORMATION

The information requested on this survey is required for all transfers. All responses are considered confidential and not available for public inspection in any manner that would disclose personal information about you or circumstances of this permit transfer.

TO BE COMPLETED BY THE PROPOSED TRANSFEREE

1. How did you locate this permit for permanent transfer? (check one answer)
 Relative or personal friend Commission's list of permits Fish processor
 Casual acquaintance Broker Advertisement
 Other (explain) _____
2. What is your relationship to the permit holder? (check one answer)
 None Personal friend Other relative
 Business partner Member of immediate family Other (explain) _____
3. How is the permit being acquired? (check one answer)
 Permit purchase only Gift Inheritance
 Combined purchase (with vessel, gear, site, etc.) Trade Other (explain) _____
4. What is the purchase price or trade value each item you will obtain in this transfer?
Permit \$ _____ Other \$ _____
Vessel \$ _____ (explain) _____
Gear \$ _____
Site \$ _____ Total package \$ _____
5. What sources of financing are being used?
Personal Resources (incl. cash) \$ _____ If any person or entity is providing any money to help you pay for the permit, please provide the information requested below and you must submit your agreement with that person or entity in writing along with this completed transfer form.
Name and address of person or entity providing money: _____
Amount of money they are providing \$ _____

DCCED (Division of Economic Development) \$ _____ Trade - list items and values
Fish Processor (you must submit the promissory note) \$ _____
AK Comm Fish & Agri Bank (CFAB) \$ _____ \$ _____
Bank or other lending institution \$ _____
(you must submit the promissory note)
Other _____ \$ _____
Transferor (seller) \$ _____
6. If permit is being transferred as a gift, is it being transferred with any terms or conditions? (check your answer) NO YES
If yes, you MUST submit the agreement in writing.
7. Is there an agreement concerning future transfers of this permit? (check your answer) NO YES
If yes, you MUST submit the agreement in writing.
8. Is there an agreement for you to pay the transferor a portion of your earnings from fishing the permit? (check your answer) NO YES
If yes, you MUST submit the agreement in writing.

TO BE COMPLETED BY THE CURRENT PERMIT HOLDER

1. Are you and/or the transferee using the services of a broker to transfer this permit? (check one answer) NO YES
If yes, which firm or person is acting as broker? _____
2. How much are you paying in brokers fees for the permit sale? \$ _____
3. What is the net price you will receive from the sale of the permit? \$ _____
4. How is the purchase price to be paid to you? (check one answer) In full at time of transfer In periodic payments over time
5. What is your reason for transferring the permit? (check one answer)
 Retirement Entering a different fishery Fishing is no longer profitable
 Health problem To pursue a non-fishing occupation Other (explain) _____

THIS SECTION IS OPTIONAL AND IS NOT REQUIRED The section is protected by the Alaska Human Rights Law AS 18.80.255.

What is your ethnic origin: (check your answers)

Permit Holder Alaska Native Caucasian Black Asian Hispanic Other _____
Transferee Alaska Native Caucasian Black Asian Hispanic Other _____

Under AS 16.05.815 and AS 16.05.480, social security numbers and other information provided on this form may be used for fisheries research, management and licensing purposes and may be disclosed to: the Alaska Department of Fish and Game, the National Marine Fisheries Service, staff of the Pacific States Marine Fisheries Commission who are employed by the Alaska Fisheries Information Network project, the North Pacific Fishery Management Council, child support enforcement agencies for child support purposes and other agencies or individuals as required by law or court order. Name, address, and licenses held are public information that may be released.



Commercial Fisheries Entry Commission Credit Card Authorization

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I authorize the Commercial Fisheries Entry Commission (CFEC) to charge the card listed below. This payment is for the items and amount listed, and is valid for a one-time use.

Please indicate the permit and/or vessel numbers in the space provided and the total amount you are authorizing your card to be charged.

FEES TO BE CHARGED TO CARD		
Item(s)	Enter Permit & Vessel Numbers	Fee Amount
Permit(s):		\$
Vessel(s):		\$
Transfer Fees:		\$
Duplicate Fees:		\$
Immediate Fishing Fees:		\$
<input type="checkbox"/> Check here to have your licenses express mailed and that you agree to pay the current USPS express mail rate PLUS the CFEC service fee of \$15.00.		
Total amount to be charged		\$

Name of Cardholder: _____

Cardholder Phone Number: _____

Cardholder Signature: _____ Date: _____

Card type: ___ Visa ___ Mastercard ___ Discover

Expiration Date: ____ / ____

Credit Card #: _____ - _____ - _____ - _____

Note: The Commercial Fisheries Entry Commission does not retain credit card information.