



# Commercial Fisheries Entry Commission

## Instructions for Permanent Transfer of Entry Permit Request

PO Box 110302  
Juneau, AK 99811-0302  
Phone: 907-789-6150  
Toll-Free: 1-855-789-6150  
Fax: 907-789-6170  
www.cfec.state.ak.us

### **General Information:**

If the proposed transferee already holds the same type of salmon permit (area and gear) except Cook Inlet set gillnet, Cook Inlet drift gillnet and Yakutat set gillnet, then the Request for Permanent Transfer of Entry Permit for the Purpose of Fleet Consolidation Under AS 16.43.140(c)(5) form needs to be completed.

#### Limited Entry Permits cannot be:

- Leased
- Pledged
- Mortgaged
- Attached
- Distraigned
- Transferred with any retained right of repossession or foreclosure
- Encumbered in any way, or on any condition requiring a subsequent transfer

Once a permit is transferred, the new holder is the permit holder and no one else can have control of or an interest in the permit.

#### In order to receive an entry permit the proposed transferee must:

- Be physically able to participate in the fishery.
- Have reasonable access to the necessary gear.

Note that permanent transfers are not official or final until all required documentation has been received and approved by the Entry Commission, even if money has already been paid and documents signed.

Information on financing is available from the Division of Economic Development (DED), phone 907-465-2510 or Commercial Fishing and Agriculture Bank (CFAB), phone 907-276-2007. An entry permit cannot be permanently transferred while it is subject to a lien filed by DED, CFAB, Child Support Services Division (CSSD), or the Internal Revenue Service (IRS). The parties to a permit transfer are advised to take appropriate measures to protect themselves from the risks associated with federal tax liens.

### **Instructions:**

- The entire form must be completed by both the permit holder and proposed transferee.
- Note: If your notice of intent to permanently transfer is not effective at the time this transfer is submitted you may request a temporary transfer so that the proposed transferee can fish pending the notice of intent date, which requires an additional \$50.00 temporary transfer fee.
- The current year permit card (if issued) must be returned.
- A \$50.00 transfer fee must be submitted.
- Both parties must have their signatures notarized (they do not have to sign at the same time or place).
- A copy of the "transfer agreement" or "bill of sale" outlining all terms and conditions of the transfer must be submitted providing the Entry Commission with full disclosure, as required by CFEC regulation 20 AAC 05.1712(c).
- The Request for Permanent Transfer form must be submitted to the Commission within 90 days of being signed and notarized.
- **Note:** If the vessel you will be fishing is not licensed for the current year then you will need to complete and submit a Vessel License Application.

If a permit is held by a deceased fisherman's estate, only the legally authorized representative of the estate can complete the transfer documents. A copy of the Letters of Administration or Letters Testamentary verifying the person's legal authority must be submitted with the transfer request.

### **Payment Information:**

Credit card payments may be submitted using the attached credit card authorization form and mailing to our office with your transfer form, fax to us at (907) 789-6170, or email to us at [dfg.cfec.transfers@alaska.gov](mailto:dfg.cfec.transfers@alaska.gov). We accept Mastercard, Visa, and Discover.

Checks, money orders, and cashier's checks may also be submitted with this form and mailed to our office.



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**Please allow up to 2 weeks for processing.** Please complete all sections of this form and submit with the \$50.00 transfer processing fee, the current year permit card if it has been issued and a copy of the "transfer agreement" or "bill of sale" outlining all terms and conditions of this transfer as required by CFEC regulation 20 AAC 05.1712(c). If any of the information is not submitted, or if the form is incomplete, there will be delays in processing the request.

Date approved: \_\_\_\_\_ Transfer Officer: \_\_\_\_\_

**PERMIT HOLDER INFORMATION: Must be completed by the current permit holder**

I \_\_\_\_\_ request a permanent transfer of my permit \_\_\_\_\_  
Name of Permit Holder (**print clearly**) \_\_\_\_\_ Permit Number \_\_\_\_\_

Permanent Mailing Address \_\_\_\_\_ Social Security Number \_\_\_\_\_ Date of Birth \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_ Phone Number \_\_\_\_\_ Check if Unlisted  \_\_\_\_\_ Email Address \_\_\_\_\_

**\*REQUIRED FOR VERIFICATION OF RESIDENCY\***

Physical Location of Residence (where you live): \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_ How Long (years/months) at the Physical Address? \_\_\_\_\_

**RESIDENCY:**  Alaska Resident  Nonresident **CITIZENSHIP:**  US Citizen  Alien Reg# \_\_\_\_\_  
(You must enclose a copy of your card)

**Note:** If your notice of intent to permanently transfer is not effective at the time that this transfer is submitted you may request a temporary transfer so that the transferee can fish pending the notice of intent date. Please complete questions below.

\_\_\_ Yes, please issue a temporary transfer of my permit to the transferee listed below.  
\_\_\_ Yes, I have included the additional temporary transfer fee of \$50.00.

**PROPOSED TRANSFEREE INFORMATION: Must be Completed by the Proposed Transferee**

Name of Transferee (print clearly) \_\_\_\_\_ Social Security Number \_\_\_\_\_ Date of Birth \_\_\_\_\_ ADFG Number \_\_\_\_\_

Permanent Mailing Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Phone Number \_\_\_\_\_ Check if unlisted  \_\_\_\_\_ Email address \_\_\_\_\_

**\*REQUIRED FOR VERIFICATION OF RESIDENCY\***

Physical Location of residence (where you live): \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_ How Long (years/months) at the Physical Address? \_\_\_\_\_

**RESIDENCY:**  Alaska Resident  Nonresident **CITIZENSHIP:**  US Citizen  Alien Reg# \_\_\_\_\_  
(You must enclose a copy of your card)

**Temporary Mailing Address (for this permit)** \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

- 1. I certify that I am physically able to harvest fish in the fishery for which this permit is valid. \_\_\_ NO \_\_\_ YES
- 2. I have reasonable access to the commercial fishing gear used in this fishery. \_\_\_ NO \_\_\_ YES
- 3. I intend to fish this permit during the upcoming season. \_\_\_ NO \_\_\_ YES

**\*\*NOTE: If you are not physically able to participate in the fishery or you do not plan on fishing the upcoming season, you will need to attach an explanation of your intent in acquiring this permit.**

If you hold more than one salmon net area permit, designate the ONE salmon net area you will fish in 2019: \_\_\_\_\_

**AFFIDAVIT:** Both Parties must read and sign under oath before a Notary Public (or Postmaster in Alaska). I swear, under penalty of perjury that the information provided by me on all pages of this form and in all supporting documents is true and accurately describes the terms and conditions of this transfer; that this transfer is not requested as part of, nor in anticipation of, any retained right of repossession or foreclosure, lease, pledge, mortgage, agreement requiring a subsequent transfer, or other encumbrance involving this permit, except as part of a transfer financed in accordance with current law, and that I am not prohibited by law or court order from being a party to this transfer. I understand that making a false claim on this transfer form or submitting false documentation in support of this transfer request is a crime under AS 11.56.210 which is punishable by up to one year in prison and/or \$5,000.00 fine and may subject me to administrative fines, suspension of fishing privileges and revocation of any entry permits I may hold.

**Permit Holder:** \_\_\_\_\_  
Signature

**Proposed Transferee:** \_\_\_\_\_  
Signature

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_ at \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_ at \_\_\_\_\_

**Notary Public (or Postmaster in Alaska)** \_\_\_\_\_

**Notary Public (or Postmaster in Alaska)** \_\_\_\_\_

Commission Expires: \_\_\_\_\_

Commission Expires: \_\_\_\_\_

**REQUIRED PERMANENT TRANSFER INFORMATION**

The information requested on this survey is required for all transfers. All responses are considered confidential and not available for public inspection in any manner that would disclose personal information about you or circumstances.

**TO BE COMPLETED BY THE PROPOSED TRANSFEREE**

1. How did you locate this permit for permanent transfer? (circle one answer)

- Relative or personal friend Commission's list of permits Fish processor
Casual acquaintance Broker Advertisement
Other (explain) \_\_\_\_\_

2. What is your relationship to the permit holder? (circle one answer)

- None Personal friend Other relative
Business partner Member of immediate family Other (explain) \_\_\_\_\_

3. How is the permit being acquired? (circle one answer)

- Permit purchase only Gift Inheritance
Combined purchase (with vessel, gear, site, etc.) Trade Other (explain) \_\_\_\_\_

4. What is the purchase price or trade value of each item you will obtain in this transfer?

- Permit \$ \_\_\_\_\_ Other \$ \_\_\_\_\_
Vessel \$ \_\_\_\_\_ (explain) \_\_\_\_\_
Gear \$ \_\_\_\_\_
Site \$ \_\_\_\_\_ Total package \$ \_\_\_\_\_

5. What sources of financing are being used?

Personal Resources (incl. cash) \$ \_\_\_\_\_ If any person or entity is providing any money to help you pay for the permit, please provide the information requested below and you must submit your agreement with that person or entity in writing along with this completed transfer form.

Name and address of person or entity providing money: \_\_\_\_\_

Amount of money they are providing \$ \_\_\_\_\_

DED (Division of Economic Development) \$ \_\_\_\_\_

Table with columns: Source, Amount, Trade Items, Values. Rows include Fish Processor, AK Comm Fish & Agri Bank (CFAB), Bank or other lending institution, and Transferor (seller).

6. If permit is being transferred as a gift, is it being transferred with any terms or conditions? (circle your answer) NO YES

If yes, you MUST submit the agreement in writing.

7. Is there an agreement concerning future transfers of this permit? (circle your answer) NO YES

If yes, you MUST submit the agreement in writing.

8. Is there an agreement for you to pay the transferor a portion of your earnings from fishing the permit? (circle your answer) NO YES

If yes, you MUST submit the agreement in writing.

**TO BE COMPLETED BY THE CURRENT PERMIT HOLDER**

1. Are you and/or the transferee using the services of a broker to transfer this permit? (circle your answer) NO YES

If yes, which firm or person is acting as broker? \_\_\_\_\_

2. How much are you paying in brokers fees for the permit sale? \$ \_\_\_\_\_

3. What is the net price you will receive from the sale of the permit? \$ \_\_\_\_\_

4. How is the purchase price to be paid to you? (circle your answer) In full at time of transfer In periodic payments over time

5. What is your reason for transferring the permit? (circle your answer)

- Retirement Entering a different fishery Fishing is no longer profitable
Health problem To pursue a non-fishing occupation Other (explain) \_\_\_\_\_

**THIS SECTION IS OPTIONAL AND IS NOT REQUIRED** The section is protected by the Alaska Human Rights Law AS 18.80.255.

What is your ethnic origin: (circle your answers)

- Permit Holder Alaska Native Caucasian Black Asian Hispanic Other \_\_\_\_\_
Transferee Alaska Native Caucasian Black Asian Hispanic Other \_\_\_\_\_

Under AS 16.05.815 and AS 16.05.480, social security numbers and other information provided on this form may be used for fisheries research, management and licensing purposes and may be disclosed to: the Alaska Department of Fish and Game, the National Marine Fisheries Service, staff of the Pacific States Marine Fisheries Commission who are employed by the Alaska Fisheries Information Network project, the North Pacific Fishery Management Council, child support enforcement agencies for child support purposes and other agencies or individuals as required by law or court order. Name, address, and licenses held are public information that may be released.



# Commercial Fisheries Entry Commission Credit Card Authorization

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I authorize the Commercial Fisheries Entry Commission (CFEC) to charge the card listed below. This payment is for the items and amount listed, and is valid for a one-time use.

Please indicate the permit and/or vessel numbers in the space provided and the total amount you are authorizing your card to be charged.

### FEES TO BE CHARGED TO CARD

Item(s)	Enter Permit & Vessel Numbers	Fee Amount
Permit(s):		\$
Vessel(s):		\$
Transfer Fees:		\$
Duplicate Fees:		\$
Immediate Fishing Fees:		\$
<input type="checkbox"/> Check here to have your licenses express mailed and that you agree to pay the current USPS express mail rate <b>PLUS</b> the CFEC service fee of \$15.00.		
Total amount to be charged		\$

Name of Cardholder: \_\_\_\_\_

Cardholder Phone Number: \_\_\_\_\_

Cardholder Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Card type:  Visa  Mastercard  Discover

Expiration Date: \_\_\_\_ / \_\_\_\_

Credit Card #: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

Note: The Commercial Fisheries Entry Commission does not retain credit card information.