

Commercial Fisheries Entry Commission Request for Permanent Transfer of Vessel Entry Permit for the Bering Sea Hair Crab or Statewide Weathervane Scallop Fishery

8800 Glacier Hwy #109
 P.O. Box 110302, Juneau, AK 99801
 www.cfec.state.ak.us.
 Phone 907-789-6150/Fax 907-789-6170

Submit a \$200.00 processing fee with this form or \$300.00 if simultaneously requesting a permanent vessel substitution and a permanent transfer of the vessel entry permit to another person or entity. **You must attach documentation showing legal ownership and overall length of the substitute vessel.** Please allow 2 weeks for processing.

CFEC Use Only

Effective Date: _____

- All sections must be completed by both the current permit holder and transferee
- The transfer survey must be complete
- This form must be signed, notarized and submitted within 90 days.
- Processing fee must be submitted, see above for correct amount
- Current year vessel permit must be submitted (if issued)
- Copy of your bill of sale or transfer agreement must be submitted

PART I: Information on vessel entry permit, vessel permit holder and authorized representative

Vessel entry permit number	Name of vessel entry permit holder	Authorized representative
Permanent mailing address	City	State Zip
Federal EIN number	Phone number	Fax number

Vessel currently listed on vessel entry permit:

ADF&G number	Name of vessel	Documentation number	Overall length of vessel
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PART II: To be completed by the proposed vessel entry permit transferee

Name of proposed transferee	Authorized representative	Federal EIN number
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Permanent mailing address	City	State	Zip	Check the statement that applies:
Phone number	Fax number	____: I certify that the requested transfer would not result in any person or entity holding an ownership interest in more than two vessel permits in the Bering Sea hair crab fishery.		

Vessel to be listed on vessel entry permit after permanent transfer:

ADF&G number	Name of vessel	Documentation number	Overall length of vessel	____: I certify that the requested transfer would not result in any person or entity holding ownership interest in more than one vessel permits for the Statewide weathervane scallop fishery.
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If the transferee is an entity, they must submit written documentation describing the entity such as a partnership agreement, articles of incorporation, annual report identifying all shareholders holding at least 5% share in the entity's stock and listing all officers, directors, partners, members or managers. The transferee must hold a 100% ownership interest in the vessel identified in this section. If the vessel identified in this section is different than the vessel identified in PART I, the transferee must also submit a Request for Permanent Substitution of a Vessel Entry Permit form. The transferee must submit documentation of the vessel ownership of the vessel identified in this section. Contact the Entry Commission for further information about documentation required and ownership constraints.

PART IV: Affidavit of current vessel entry permit holder/authorized representative and proposed transferee

I swear, under penalty of perjury, that the information provided by me on this form and in all attached statements and materials supporting this request is true and completely describes the terms and conditions of this transfer; and that I am not prohibited by law or court order from being a party to this transfer. I understand that making a false claim on this form or submitting false documentation in support of this transfer request is a crime under AS 11.56.210 which is punishable by up to one year in prison and/or \$5,000.00 fine, and may subject me to administrative fines, suspension of fishing privileges and revocation of any vessel entry permits I or the entity may hold. I further swear that I am authorized to represent the holder of the permit that is subject of this request.

Signature of vessel entry permit holder/authorized representative	Signature of transferee/authorized representative
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Subscribed and sworn to before me this _____ day of _____, 20__ at _____	Subscribed and sworn to before me this _____ day of _____, 20__ at _____
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Notary Public (or Postmaster in Alaska) Commission expires _____	Notary Public (or Postmaster in Alaska) Commission expires _____
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REQUIRED VESSEL ENTRY PERMIT TRANSFER INFORMATION

The information requested on this survey is required for all transfers. All responses are considered confidential and not available for public inspection in any manner that would disclose personal information about you or circumstances of this permit transfer. A copy of your bill of sale or sales contract, a full explanation of any terms or conditions relating to this transfer and the transfer fee must also be submitted.

PART IV: TO BE COMPLETED BY THE PROPOSED TRANSFEREE

HOW DID YOU LOCATE THIS PERMIT? (Circle your answer)

- | | | |
|--------------------------------|---------------------------------|--------------------------|
| 1. Relative or personal friend | 3. Commission's list of permits | 5. Fish processor |
| 2. Casual acquaintance | 4. Broker | 6. Advertisement |
| | | 7. Other (explain) _____ |

WHAT IS YOUR RELATIONSHIP TO THE PERMIT HOLDER (SELLER)? (Circle your answer)

- | | | |
|---------------------|-------------------------------|--------------------------|
| 1. None | 3. Personal friend | 5. Other relative |
| 2. Business partner | 4. Member of immediate family | 6. Other (explain) _____ |

HOW IS THE PERMIT BEING ACQUIRED? (Circle all that apply)

- | | | |
|--|----------|--------------------------|
| 1. Permit purchase only | 3. Gift | 5. Inheritance |
| 2. Combined purchase (with vessel, gear, site, etc.) | 4. Trade | 6. Other (explain) _____ |

WHAT IS THE AGREED UPON PURCHASE PRICE OR TRADE VALUE FOR EACH ITEM YOU WILL OBTAIN IN THIS TRANSFER?

- | | | |
|--------------------|------------------------|--|
| 1. Permit \$ _____ | 5. Other \$ _____ | |
| 2. Vessel \$ _____ | (explain) _____ | |
| 3. Gear \$ _____ | | |
| 4. Site \$ _____ | TOTAL PACKAGE \$ _____ | |

WHAT SOURCES OF FINANCING ARE BEING USED? (Circle all that apply)

1. Personal Resources (incl. cash) \$ _____

If any person or entity is providing any money to help you pay for the permit, please provide the information requested below:

a) Name and Address: _____

b) Amount of money they are providing \$ _____

c) You must submit your agreement in writing along with this completed transfer form.

- | | |
|--|-----------------------------------|
| 2. AK DCCED (Division of Economic Development) \$ _____ | 7. Trade - list items and values |
| 3. Fish Processor (you must submit the promissory note) \$ _____ | a _____ \$ _____ |
| 4. AK Comm Fish & Agri Bank (CFAB) \$ _____ | b _____ \$ _____ |
| 5. Bank or other lending institution \$ _____ | c _____ \$ _____ |
| (you must submit the promissory note) | 8. Other (explain) _____ \$ _____ |
| 6. Transferor (seller) \$ _____ | |

IF PERMIT IS BEING TRANSFERRED AS A GIFT, IS IT BEING TRANSFERRED WITH ANY TERMS OR CONDITIONS? YES NO
IF YES, YOU MUST PROVIDE THE AGREEMENT IN WRITING

IS THERE AN AGREEMENT CONCERNING FUTURE TRANSFER(S) OF THE PERMIT? YES NO
IF YES, YOU MUST PROVIDE THE AGREEMENT IN WRITING

IS THERE AN AGREEMENT FOR YOU TO PAY THE TRANSFEROR A PORTION OF YOUR EARNINGS FROM FISHING THE PERMIT? YES NO
IF YES, YOU MUST PROVIDE THE AGREEMENT IN WRITING

PART VI: TO BE COMPLETED BY THE CURRENT PERMIT HOLDER

ARE YOU (you and/or the transferee) USING THE SERVICES OF A BROKER TO TRANSFER THIS PERMIT? YES NO
 If YES, which firm or person is acting as broker? _____

WHAT IS THE NET PRICE YOU WILL RECEIVE FROM SALE OF THE PERMIT? (After paying broker's fees) \$ _____

HOW MUCH ARE YOU/TRANSFEREE PAYING IN BROKER'S FEES FOR THE PERMIT SALE? \$ _____

HOW IS THE PURCHASE PRICE TO BE PAID TO YOU? 1. In full at time of transfer 2. In periodic payments over time

WHAT IS YOUR REASON FOR TRANSFERRING THE PERMIT? (Circle all that apply)

- | | | |
|-------------------|---------------------------------------|------------------------------------|
| 1. Retirement | 3. Entering a different fishery | 5. Fishing is no longer profitable |
| 2. Health problem | 4. To pursue a non-fishing occupation | 6. Other (explain) _____ |

Commercial Fisheries Entry Commission

Credit Card Authorization

P.O. Box 110302
Juneau, Alaska 99811-0302
Phone: (907)789-6150/Fax: (907)789-6170
www.cfec.state.ak.us

I authorize the Commercial Fisheries Entry Commission to charge the card indicated on this authorization. This payment is for the items listed below, for the amount indicated below and is valid for a one time use. I certify that I am an authorized user of this credit card and that I will not dispute the payment with my credit card company as long as the transaction corresponds to the information indicated on this form.

Please complete the information below:

NOTE: IF THERE ARE ANY PROBLEMS WITH THIS AUTHORIZATION INCLUDING THE CREDIT CARD NUMBER YOU WILL BE REQUIRED TO SUBMIT A NEW AUTHORIZATION AS THE ENTRY COMMISSION DOES NOT RETAIN CREDIT CARD INFORMATION.

List the items below that you want to pay for:

Permit fees: \$ _____

List all permit number(s) you are paying for: _____

Vessel fees: \$ _____

List all vessel ADF&G numbers you are paying for: _____

Transfer fees: \$ _____

List the permit number(s) you are paying for: _____

NOTE: Transfer fees are for permit or vessel permit transfers only

If you want your licenses express mailed you must check both spaces below:

_____ Yes, please express mail my licenses, I agree to pay the service fee of \$15.00.

_____ Yes, I have checked the USPS website for the current rate of express mail postage and agree to pay the current rate.

Total fees to be charged on my credit card \$ _____

Card holder name: _____

Signature of card holder: X _____ Date: _____

Account type: ___ VISA ___ MASTERCARD ___ DISCOVER

Expiration Date: _____

Credit card #: _____ - _____ - _____ - _____